

## Checkpoints inhibitors (CPIs) in the early setting of solid cancers : Promising findings and perspectives -

Nuria Kotecki , MD Institut Jules Bordet



BSMO 23 Novembre 2018

# OUTLINE

## Rationale for immunotherapy use in the early setting

- Adjuvant checkpoint blockade
- Neoadjuvant checkpoint blockade
- Perspectives for development of CPis in the early setting

## Conclusions



## Introduction

- CPIs transformed the treatment of patients with advanced cancers
- Several drugs approved for treatment across many subtypes
- <u>Next logical steps</u>: Explore the potential of CPis, such as PD-1/PD-L1 inhibitors, in a curative setting to improve patients outcome





# Objectives of systemic treatments in the early setting

- Improve surgical outcomes (neoadjuvant setting)
  Reduce the risk of distant recurrence
- •Eradication of micrometastases
- Increase response to definitive radiotherapy (cRT)

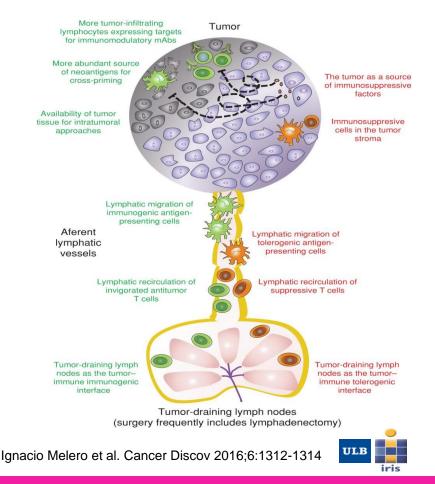
**Hypothesis:** Immunotherapy serves as a primer for systemic antitumor responses, activating tumor-specific T cells that seek out distant micrometastases.





### **RATIONALE FOR NEOADJUVANT vs ADJUVANT IMMUNOTHERAPY**

- •Presence of TiLs that are often expressing the targets for the immunomodulatory mAbs
- •Abundance of tumor antigens available for crosspriming at the time of immunotherapy.
- •Recirculation of **reinvigorated T lymphocytes** out of the primary tumor infiltrate to tackle micrometastatic disease.
- •Preclinical studies Short course of neoadjuvant immunotherapy significantly improved survival compared to adjuvant administration

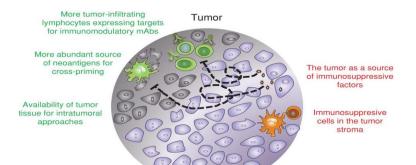




### **RATIONALE FOR NEOADJUVANT vs ADJUVANT IMMUNOTHERAPY**

•Presence of TiLs that are often expressing the targets for the immunomodulatory mAbs

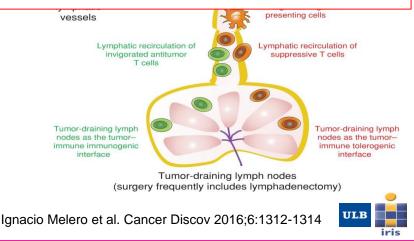
•Abundance of tumor antigens available for cross-



Strategies combining both neoadjuvant and adjuvant dosing might be the most efficacious.

micrometastatic disease.

•Preclinical studies - Short course of neoadjuvant immunotherapy significantly improved survival compared to adjuvant administration





# How to best combine CPIs with surgery to reduce disease recurrence ?

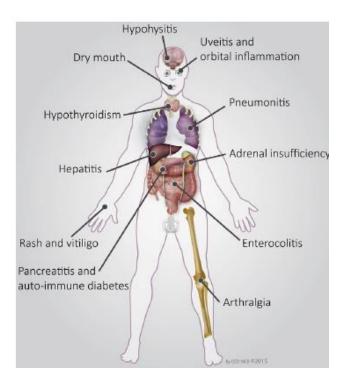








# What is the acceptable degree of toxicity in a curative setting ?







# OUTLINE

- Rationale for immunotherapy use in the early setting
- Adjuvant checkpoint blockade
- Neoadjuvant checkpoint blockade
- Perspectives for development of CPis in the early setting

## Conclusions

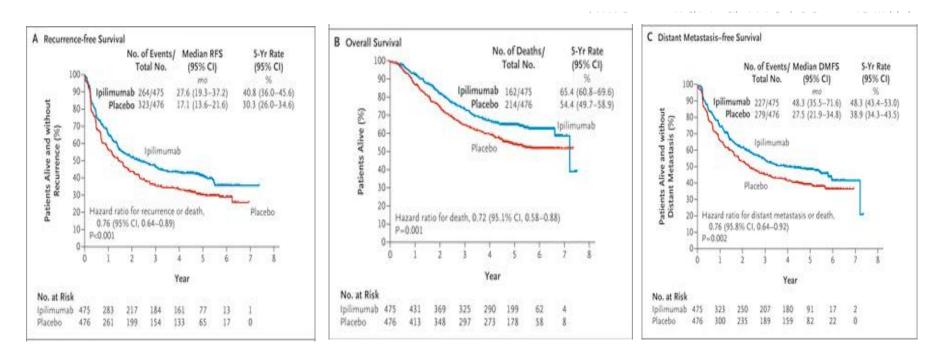




ORIGINAL ARTICLE

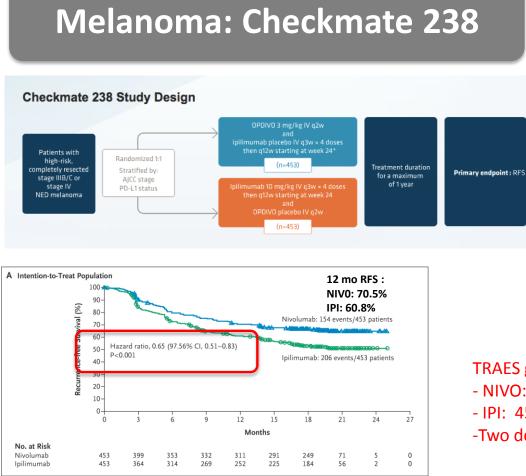
### Melanoma: NCT00636168

Prolonged Survival in Stage III Melanoma with Ipilimumab Adjuvant Therapy







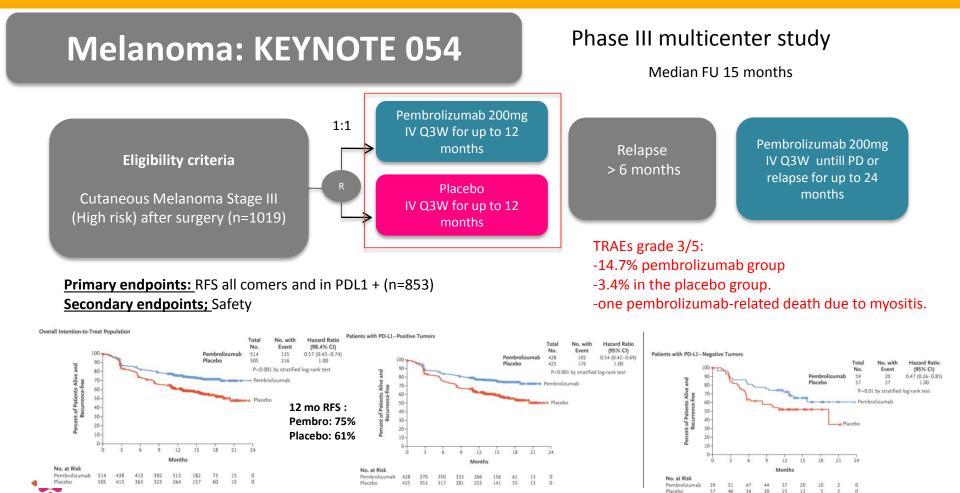


| Subgroup  | Nivolumab      | Ipilimumab      | н                        | lazard Ratio (95% CI) |
|---|----------------|-----------------|--------------------------|-----------------------|
|   | no. of events/ | no. of patients |                          | . ,                   |
| All patients  | 154/453        | 206/453         |                          | 0.66 (0.53-0.81)      |
| Age   | ,              |                 |                          |                       |
| <65 yr  | 106/333        | 147/339         |                          | 0.65 (0.51-0.84)      |
| ≥65 yr  | 48/120         | 59/114          |                          | 0.66 (0.45-0.97)      |
| Sex   |                |                 |                          |                       |
| Male  | 99/258         | 133/269         |                          | 0.68 (0.53-0.88)      |
| Female  | 55/195         | 73/184          |                          | 0.63 (0.44-0.89)      |
| Stage   | ,              |                 |                          |                       |
| IIIB  | 41/163         | 54/148          |                          | 0.67 (0.44-1.00)      |
| IIIC  | 79/204         | 109/218         |                          | 0.65 (0.49-0.87)      |
| IV M1a or M1b   | 25/62          | 35/66           |                          | 0.63 (0.38-1.05)      |
| IV M1c  | 8/20           | 8/21            |                          | 1.00 (0.37-2.66)      |
| Not reported  | 1/2            | 0/0             |                          | ,,                    |
| Ulceration in stage III                                       | -,-            | -7-             |                          |                       |
| Absent  | 58/201         | 94/216          |                          | 0.59 (0.42-0.82)      |
| Present   | 60/153         | 64/135          |                          | 0.73 (0.51-1.04)      |
| Not reported  | 2/15           | 5/15            |                          | 0.39 (0.07-2.00)      |
| Lymph-node involvement in stage                               |                |                 |                          |                       |
| Microscopic   | 41/125         | 55/134          | •                        | 0.71 (0.47-1.07)      |
| Macroscopic   | 72/219         | 101/214         |                          | 0.62 (0.46-0.84)      |
| Not reported  | 7/25           | 7/18            |                          | 0.60 (0.21-1.72)      |
| Ulceration according to lymph-nod<br>involvement in stage III |                | ,               |                          |                       |
| Present, microscopic  | 26/66          | 27/69           | _                        | 1.00 (0.58-1.72)      |
| Present, macroscopic  | 31/78          | 35/62           |                          | 0.55 (0.34-0.89)      |
| Absent, microscopic   | 15/57          | 26/62           |                          | 0.51 (0.27-0.96)      |
| Absent, macroscopic   | 40/130         | 63/140          |                          | 0.63 (0.43-0.94)      |
| Not reported  | 8/38           | 12/33           |                          | 0.51 (0.21-1.25)      |
| PD-L1 status  |                |                 |                          |                       |
| <5% or indeterminate  | 123/300        | 149/299         |                          | 0.71 (0.56-0.90)      |
| ≥5%   | 31/152         | 57/154          |                          | 0.50 (0.32-0.78)      |
| Subtype   |                |                 |                          |                       |
| Mucosal   | 11/16          | 6/13            |                          | 1.57 (0.57–4.33)      |
| Cutaneous   | 118/388        | 166/378         |                          | 0.61 (0.48-0.77)      |
| Acral   | 13/16          | 12/17           |                          | 0.86 (0.39-1.90)      |
| Other   | 12/33          | 22/45           |                          | 0.64 (0.31-1.29)      |
| BRAF status   | ,              | ,               |                          |                       |
| Mutation  | 63/187         | 84/194          |                          | 0.72 (0.52-1.00)      |
| No mutation   | 67/197         | 105/214         |                          | 0.58 (0.43-0.79)      |
| Not reported  | 24/69          | 17/45           |                          | 0.83 (0.45-1.54)      |
|   |                |                 | 0.25 0.50                | 1.00 2.00             |
|   |                |                 | ■<br>Nivolumab<br>Better | Ipilimumab<br>Better  |

#### TRAES grade ¾

- NIVO: 14.4% (ttt discontinuation 9.7%)
- IPI: 45.9% (ttt discontinuation 42.6%)
- -Two deaths (0.4%) related to ipilimumab

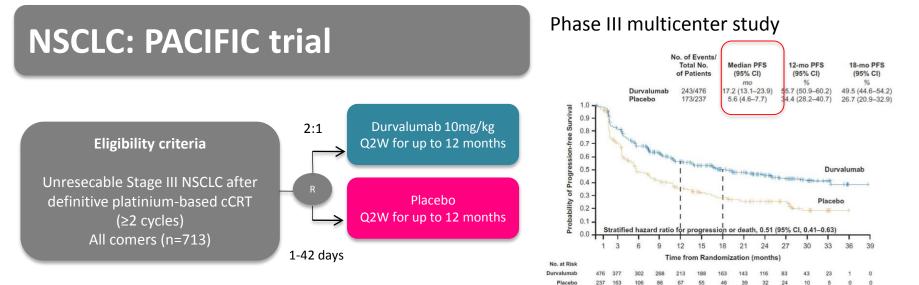




JULES BORDET

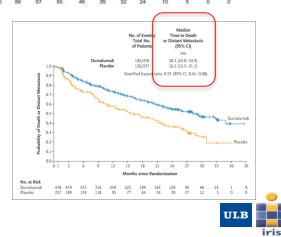
Eggermont et al. NEJM 2018

iris



#### <u>Primary endpoints:</u> PFS, OS <u>Secondary endpoints;</u> ORR, DoR and TTDM, PFS2 by investigator, safety, PROs

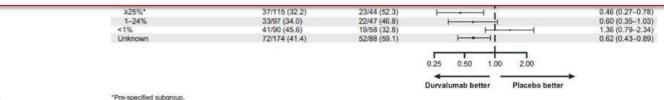
|                                   | All pat               | tients <sup>1</sup> | PD-L1                 | ſC ≥1%            | PD-L1 1              | ſC <1%            |
|-----------------------------------|-----------------------|---------------------|-----------------------|-------------------|----------------------|-------------------|
| AE category<br>n (%)              | Durvalumab<br>(N=475) | Placebo<br>(N=234)  | Durvalumab<br>(N=213) | Placebo<br>(N=90) | Durvalumab<br>(N=91) | Placebo<br>(N=57) |
| Anv-grade all-causality AEs_n (%) | 460 (96.8)            | 222 (94.9)          | 205 (96.2)            | 83 (92.2)         | 88 (96.7)            | 54 (94.7)         |
| Grade 3/4                         | 145 (30.5)            | 61 (26.1)           | 67 (31.5)             | 21 (23.3)         | 26 (28.6)            | 12 (21.1)         |
| Outcome of death                  | 21 (4.4)              | 15 (6.4)            | 8 (3.8)               | 4 (4.4)           | 3 (3.3)              | 4 (7.0)           |
| Leading to discontinuation        | 73 (15.4)             | 23 (9.8)            | 36 (16.9)             | 5 (5.6)           | 10 (11.0)            | 10 (17.5)         |
| SAEs                              | 138 (29.1)            | 54 (23.1)           | 64 (30.0)             | 18 (20.0)         | 20 (22.0)            | 11 (19.3)         |
| AESIs                             | 317 (66.7)            | 115 (49.1)          | 146 (68.5)            | 39 (43.3)         | 62 (68.1)            | 30 (52.6)         |



## **NSCLC: PACIFIC - Survival data by PDL1 status**

| Cubassus     | Duranturati        | Disselse           | Unstratified Hazar                    |                   |
|--------------|--------------------|--------------------|---------------------------------------|-------------------|
| Subgroup     | Durvalumab         | Placebo            | Disease Progression o                 | r Death (95% CI)  |
|              | no, of events / no | o. of patients (%) |                                       |                   |
| All patients | 214/476 (45.0)     | 157/237 (66.2)     |                                       | 0.52 (0.42-0.65)* |
| PD-L1 status |                    |                    | 1                                     |                   |
| ≥1%          | 84/212 (39.6)      | 59/91 (64.8)       | ⊢ + i                                 | 0.46 (0.33-0.64)  |
| ≥25%*        | 48/115 (41.7)      | 31/44 (70.5)       | → → ÷                                 | 0.41 (0.26-0.65)  |
| 1-24%        | 36/97 (37.1)       | 28/47 (59.6)       |                                       | 0.49 (0.30-0.80)  |
| <1%          | 49/90 (54.4)       | 40/58 (69.0)       | · · · · · · · · · · · · · · · · · · · | 0.73 (0.48-1.11)  |
| Unknown      | 81/174 (46.6)      | 58/88 (65.9)       |                                       | 0.59 (0.42-0.83)  |
|              |                    |                    |                                       |                   |
|              |                    |                    |                                       |                   |
|              |                    |                    | 0.25 0.50 1.00                        | 2.00              |
|              |                    |                    | 1.41                                  |                   |

EMA approved durvalumab for the treatment of locally-advanced, unresecable NSCLC in adults whose tumours express PD-L1 on ≥ 1% of tumour cells and whose disease has not progressed following platinum-based CRT.







# OUTLINE

- Rationale for immunotherapy use in the early setting
- Adjuvant checkpoint blockade
- Neoadjuvant checkpoint blockade
- Perspectives for development of CPis in the early setting

## Conclusions



### Early Evidence of Neoadjuvant PD-1 Blockade in NSCLC

| Study name          | Phase                                     | ІМР  | n= | Primary<br>Endpoint(s)     | TRAEs grade<br>3-5<br>n= | Delay / No<br>surgery n= | mCR                         | Potential<br>Biomarkers                         |
|---------------------|---|--|----|----------------------------|--------------------------|--------------------------|-----------------------------|---|
| NCT<br>02259621     | Pilot study                               | Nivolumab  | 21 | Safety and<br>feasibility. | 1 (4%)                   | 0                        | mPR 45%                     | TMB<br>Neoantigen-<br>specific T-cell<br>clones |
| NEOSTAR             | ll<br>randomized                          | Nivolumab/Ipilim<br>umab   | 36 | mPR                        | 3 (8%)                   | 5                        | N/NI 26%<br>N 25%<br>NI 27% | T cell<br>infiltration                          |
| LCMC 3              | II single-arm                             | Atezolizumab   | 45 | mPR                        | 6 (3%)                   | 0                        | 22% (10%)<br>3 pCR          | No mPR in<br>PDL1- TCO/ICO                      |
| NADIM<br>STUDY-SLCG | II single-arm<br>open-label<br>randomized | Nivolumab<br>360mg IV<br>Carbo<br>AUC6/Taxol<br>200mg/m2 Q3W<br>12mo adjuvant<br>Nivolumab | 46 | 24mo-PFS                   | Related to CT<br>+++     | 0 (20)                   | 80%<br>pCR 65% (13)         | /   |

### NSCLC: NCT02259621

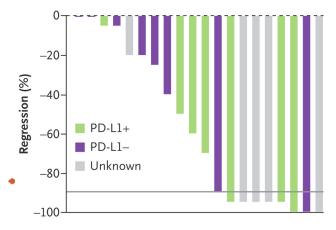
#### Pilot Study bicenter trial



Primary endpoints: Safety and feasibility.

Secondary and exploratory endpoints: Radiologic and pR and correlates

of response in blood and tumor

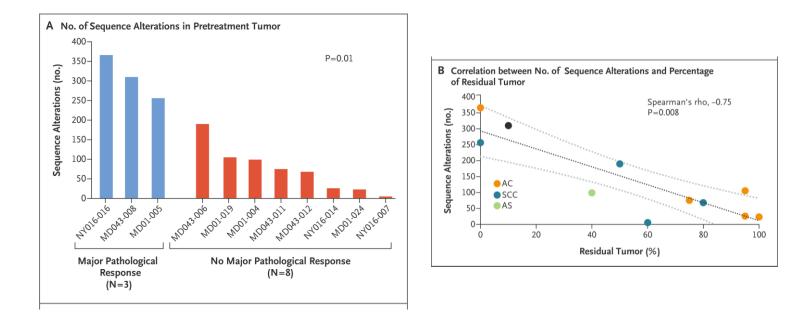


#### mCR rate: 45%

TRAEs: Any grade: 23% Grade 3: 1 pneumonitis with no delay to surgery

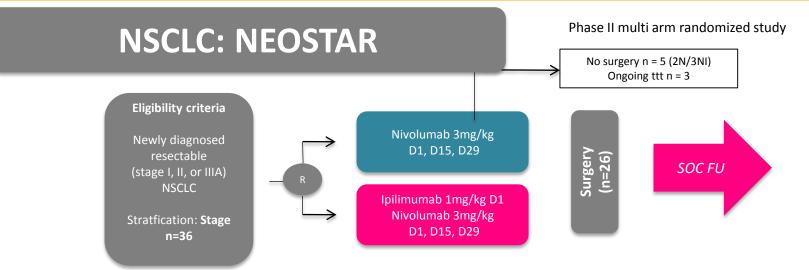


# Association between Mutational Burden and Pathological Response to PD-1 Blockade.









**Primary Endpoint :** MPR ≥ 40% in both arms

<u>Secondary Endpoints</u>; Safety, ORR, RFS, OS, correlates MPR/RECIST with OS/RFS, complete resction rate, pCR, CD8 Tils, tissue, blood and stools biomarkers

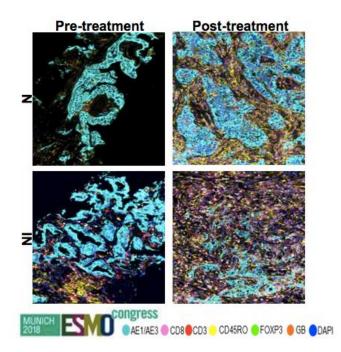
| Overall**<br>Resected + unresectable | n=31    | N<br>n=16 | NI<br>n=15 |
|--------------------------------------|---------|-----------|------------|
| MPR + pCR                            | 8 (26%) | 4 (25%)   | 4 (27%)    |
| 0% viable tumor cells (pCR)          | 5 (16%) | 2 (13%)   | 3 (20%)    |
| 1-10% viable tumor cells             | 3 (10%) | 2 (13%)   | 1 (7%)     |
| Path response pending                | 5**     | 2         | 3          |

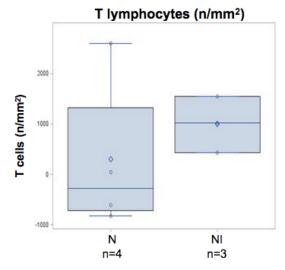
| ORR | (CR+PR | ): 22%( | (7/32) |
|-----|--------|---------|--------|
|-----|--------|---------|--------|

ORR by Arm: N: 31% (5/16) NI: 12% (2/16) TRAEs: NI > N grade ½ : Cough, Fatigue, Nausea, Rash n = 59 Grade 3/5: pneumonitis, hypoxia n = 3 Surgical complications; : *pneumonitis, pneumonia, bron fistula(same pt)*, air leak > 5 days



#### Tumors treated with neoadjuvant NI are characterized by greater T cell infiltration





Change in T lymphocyte density between N and NI (median value in post – pre treatment)

Preliminary results suggest neoadjuvant CPIs induce higher TIL proliferation and activation vs. untreated tumors.



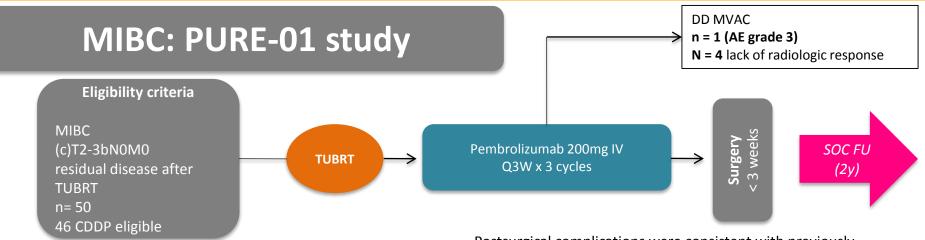


### Early Evidence of Neoadjuvant PD-1 Blockade in MIBC

| Study<br>name | Phase         | IMP                            | n= | Primary<br>Endpoint(s)                    | TRAEs<br>grade 3-5<br>n= | Delay /<br>No<br>surgery<br>n= | pCR                                       | Potential<br>Biomarkers                             |
|---------------|---------------|--------------------------------|----|---|--------------------------|--------------------------------|---|---|
| PURE-01       | II single-arm | Pembrolizumab<br>200mg x 3 Q3W | 50 | pCR                                       | 3 (6%)                   | 0                              | 21 (42%)                                  | PD-L1 CPS ≥ 10%.<br>Higher tumor<br>mutation burden |
| ABACUS        | II single-arm | Atezolizumab<br>1200mg x 2 Q3W | 74 | pCR (> 20%)<br>/ increase in<br>CD8 count | < 5%                     | 7                              | 29%<br>PDL1 + (> 5%)<br>40%<br>PDL1 – 16% | PD-L1<br>CD8 expression                             |







Primary endpoint: pCR

**Secondary endpoints:** Pathologic downstaging pT < 2, safety

Postsurgical complications were consistent with previously reported findings No post operative death related to surgery

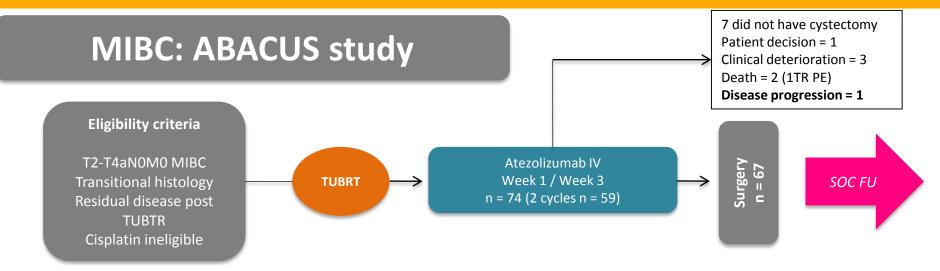
| Response                       | All treated patients<br>(n=50) | PDL1 CPS ≥ 10%<br>(n=35) | PDL1 CPS < 10%<br>(n=15) |
|--------------------------------|--------------------------------|--------------------------|--------------------------|
| pCR (n%)                       | 21 (42) [28.2-56.8]            | 19 (54.3)                | 2 (13.3)                 |
| Pathologic<br>downstaging (n%) | 27 (54) [39.3-68.2]            | 23 (65.7)                | 4 (26.7)                 |
| Treatment failure (n%)         |                                |                          |                          |
| Additional MVACx4              | 5 (10)                         |                          |                          |
| RECISTIVALE BORDE              | т0                             |                          |                          |

#### Grade ¾ AEs 6% (3pts):

Diarrhea

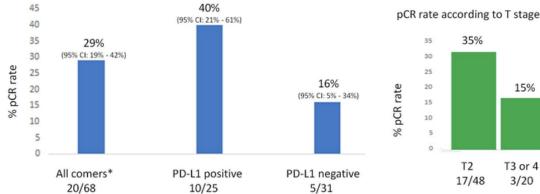
- •Hyperkaliemia
- •ASAT/ALAT increase (>> Pembro discontinuation)





**Primary endpoints:** pCR (> 20%) / increase in CD8 count Secondary endpoints: safety and radiological response

#### No post operative death related to surgery



#### pCR rate according to T stage at baseline

Grade ¾ AEs < 5%: Fatigue transaminitis Anorexia Pyrexia

iris

Powles T et al , ASCO 2018c

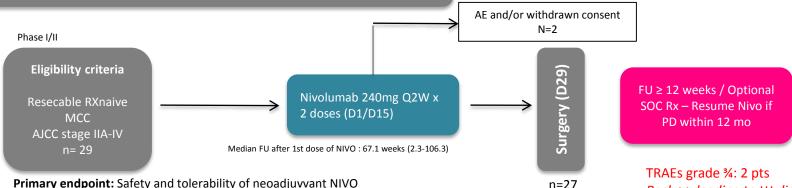
# Early Evidence of Neoadjuvant PD-1 Blockade in other tumor types



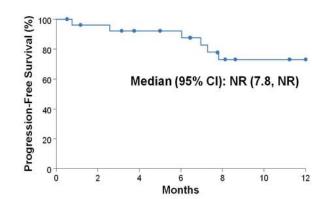


Pied de page à compléter

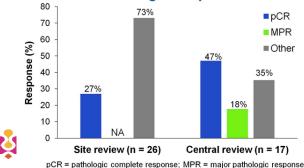




Primary endpoint: Safety and tolerability of neoadjuvvant NIVO Secondary: Immunologic changes in blood and tumor Exploratory: RECIST, Pathologic response, PFS, OS, Association with MCPyV status and PDL1 expression with efficacy







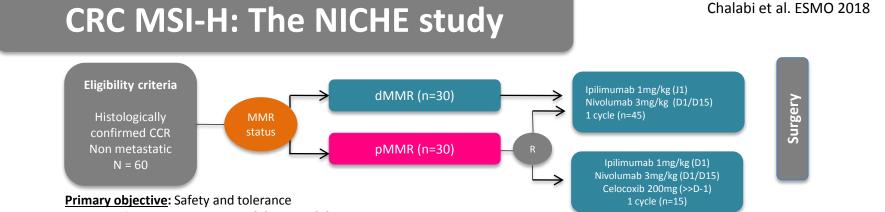
(≤10% residual viable tumor); NA = not assessed.

Rash >> leading to ttt discontinuation *Lipase increased*) No surgery 2pts (AE/withdrawn consent) No delay in surgery

| Postop interval,<br>months | PFS rate, %<br>(95% CI) |
|----------------------------|-------------------------|
| 3                          | 92.1 (72.1, 98.0)       |
| 6                          | 92.1 (72.1, 98.0)       |
| 9                          | 72.6 (48.6, 86.8)       |
| 12                         | 72.6 (48.6, 86.8)       |

#### Topalian et al. ASCO 2018

#### **Progression-Free Survival**



**Primary objective:** Safety and tolerance n = 19 pts (15 evaluable -dMMR [7] pMMR [8] Median duration between D1 and surgery = 32 days

dMMR n=7

| cTNM    | урТNM  | Residual tumor cells (%) |
|---------|--------|--------------------------|
| cT2N2a  | ypT0N0 | 0                        |
| cT2N0   | ypT0N0 | 0                        |
| cT3N0   | ypT0N0 | 0                        |
| cT3N2a  | ypT1N0 | 1                        |
| cT4aN2a | ypT2N0 | 2                        |
| cT4aN1a | ypT3N1 | 2                        |

pMMR n=8

#### No new safety signals Treatment was well tolerated

| сТММ    | урТММ  | Residual tumor cells (%) |
|---------|--------|--------------------------|
| cT3N1a  | ypT3N2 | 85                       |
| cT3N0   | ypT3N0 | 90                       |
| cT2N0   | ypT3N1 | 90                       |
| cT2N0   | ypT3N0 | 90                       |
| cT3aN1b | ypT3N1 | 90                       |
| cT3aN1b | ypT3N2 | 95                       |
| cT3N0   | ypT3N0 | 100                      |
| cT2N0   | урТЗN0 | 100                      |

# OUTLINE

- Rationale for immunotherapy use in the early setting
- Adjuvant checkpoint blockade
- Neoadjuvant checkpoint blockade
- Perspectives for development of CPIs in the early setting





# Perspectives

► ≥ 75 ongoing trials investigating IO in the early setting in various tumor types

- Various CPIs
- Combination with either chemotherapy/radiotherapy
- . Innovative immunotherapies and/or approaches
- Combining neoadj/adj approaches
- High potential for translational research and identification of clinical utility
  - PDL1, TiLs, TMB, CD8 expression
- Moving to an much more earlier setting ?
  - (e.g Pembrolizumab IV in NMBIC)



# Challenges for moving Immunotherapies from salvage therapy to earlier disease treatments

The use of ICPis in the adjuvant/neoadjuvant setting raises a number of questions:

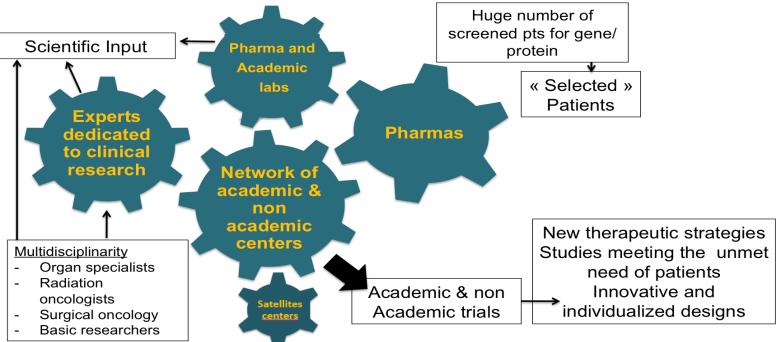
- Acceptable degree of toxicity in a potentially curative setting
- Duration of treatment
- Best treatment shedule (intermittent vs continuous)
- Choosing appropriate comparators
- Combinations with others types of neoadjuvant treatments







#### A NEW ACADEMIC MODEL OF CLINICAL RESEARCH COLLABORATION BASED ON THE PROGRESS ON MOLECULAR BIOLOGY AND METHODOLOGICAL ISSUES

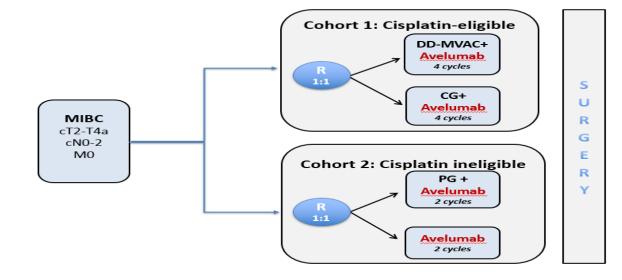


# Speed and quality academic and non academic trials





## Oncodistinct 004: AURA trial



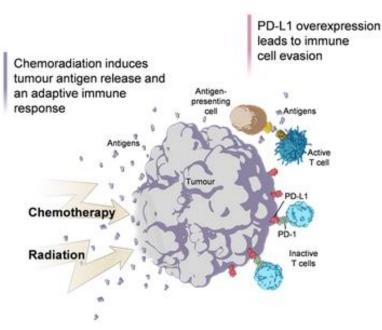
**Primary endpoint:** PCR rate (ypT0ypN0)

Number of pts 150 evaluable patients



# RATIONAL FOR COMBINING RADIATION & IMMUNOTHERAPY

#### CHEMORADIATION

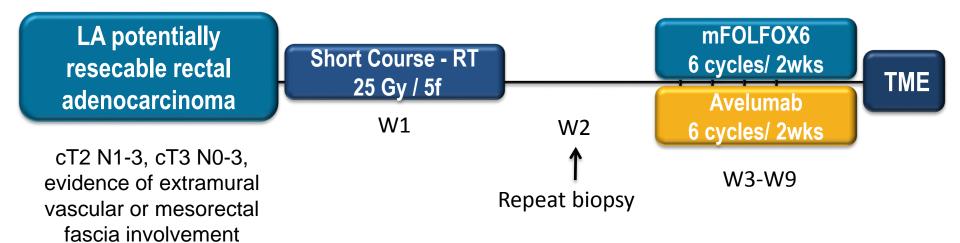








Oncodistinct 005 - Short-course RT followed by mFOLFOX6 + Avelumab agent for LA rectal ADK



**Primary objective:** pCR rate **Secondary objectives:** 3-year DFS, Safety and tolerability, QoL, explore changes in PD-L1 expression and T-cell infiltration

# OUTLINE

- Rationale for immunotherapy use in the early setting
- Adjuvant checkpoint blockade
- Neoadjuvant checkpoint blockade
- Perspectives for development of CPis in the early setting

## Conclusions



# Conclusions

- Early use of CPis seems feasible and safe with very few delay to surgery
- Encompassing both neoadjuvant and adjuvant dosing might be the most efficacious.
- Implement TR as much as possible using the possiblity of WOO trials in this setting to discover biomarker of clinical activity
- Try to select patients who will need neoadjuvant/adjuvant CPis
- In the NA setting does pCR benefit = overall survival benefit ?
- Many questions remains open and results needs to be confirmed
- >> Many trials ongoing





# Thank you.





